

# State Enactments of 2010 Article 9 Amendments\*



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Last updated 7/30/2015

STATE	Legislative Status	Bill Number	Date Bill Introduced	Option Chosen for 9-503(a): Individual Names	Effective Date	Section 9-521 (Forms)	Non-Uniform Provisions	NOTES
Alabama	Enacted Chapter No. 2014-374	<a href="#">SB 28</a>	1/14/2014	Alternative A	NON-UNIFORM 7/1/2014	Section 9-521 provides text of the form fields, not images of the forms.		
Alaska	Enacted Chapter No. 2013 - 64	<a href="#">HB 9</a>	1/7/2013	Alternative B	7/1/2013	The bill omits the amendment to Section 9-521. This means AK will continue to accept their current form versions unless there is a subsequent legislative amendment to this section.		
Arizona	Enacted Chapter No. 2014-103	<a href="#">SB 1046</a>	1/13/2014	Alternative A	NON-UNIFORM 4/22/2014	Section 9-521 does not provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2014 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.		
Arkansas	Enacted Chapter No. 2013 - 138	<a href="#">SB 219</a>	1/31/2013	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
California	Enacted Chapter No. 2013 - 531	<a href="#">AB 502</a>	2/20/2013	NON-UNIFORM See Notes	NON-UNIFORM 7/1/2014	The bill provides images of the forms with official text.	See Notes	<p><b>Non-uniform Section 9-503(a)5 states that</b>  <i>"If the debtor is an individual, only if the financing statement provides either of the following:</i>            (A) <i>The individual name of the debtor.</i>            (B) <i>The surname and first personal name of the debtor."</i></p> <p>Despite the one-year effective date delay (vs. the model act), Section 9805(b)(2)(B) maintains the uniform June 30, 2018 end of transition date, thus providing only a four-year transition period.</p>
California	Enacted Chapter No. 2014 - 284	<a href="#">AB 1858</a>	2/19/2014	Alternative A	NON-UNIFORM 1/1/2015	N/A	See Notes	This bill would make it a violation of the Unruh Civil Rights Act for a secured party or proposed secured party to decline to provide credit to a debtor or proposed debtor, or offer to make the terms and conditions of such credit less favorable to the debtor or proposed debtor if that decision was based on the fact that the debtor did not have an unexpired driver's license or identification card.

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Colorado	Enacted Chapter No. 2012 - 170	<a href="#">HB 12-1262</a>	3/27/2013	Alternative B	7/1/2013	The bill omits the amendment to Section 9-521. This means CO will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State. <b>See notes column.</b>		Due to the administrative rules that became effective on 5/29/2012, the Colorado Secretary of State's office will only accept secured transaction (UCC) records in <b>electronic</b> format as of that date. The forms prescribed by the Secretary of State in Section 9-521 will only apply to Colorado <b>county</b> filings.
Colorado	Enacted Chapter No. 2013 - 379	<a href="#">HB 13-1284</a>	3/27/2012	See notes	7/1/2013		The bill repeals non-uniform provisions in Section 9-518 [subsections (a)(2), (a)(3) and (f)] that became obsolete with HB 12-1262.	Bill adds identification card that has not expired to text in Section 9-503(a)(4).
Connecticut	Enacted Chapter No. 2011 - 108	<a href="#">HB 6274</a>	2/1/2011	Alternative B (See Notes)	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means CT will retain their current version of this provision, which directs the Secretary of State to prescribe the forms the filing office will accept.</i>	Section 9-503(a)	Contains non-uniform language in Section 9-503. The bracketed term in subsection (c) has been replaced with "motor vehicle operator's license or identity card"
Delaware	Enacted Chapter No. 2013 - 79:15	<a href="#">HB 8</a>	1/15/2013	Alternative B	7/1/2013	Section 9-521 includes images of the 4/20/2011 forms in the model act and also includes some additional non-uniform forms. The optional Delaware version of the UCC3 form (Form UCC3-Alt) adds an extra field for "Amendment (Other)".	Added New Section 9-512(f) (See Notes)	New Section 9-512(f) clarifies whether Section 9-507(c) or Section 9-508 applies when a filed financing statement becomes seriously misleading following the conversion of the debtor from one entity type to another based on whether the resulting entity is considered the same or a different organization by operation of the applicable laws governing the conversion.
District of Columbia	Enacted Chapter No. A19-0669	<a href="#">B19-0222</a>	4/5/2011	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
Florida	Enacted Chapter No. 2012-59	<a href="#">HB 483</a>	1/10/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means FL will retain their current version of this provision, which directs the Secretary of State to prescribe the forms the filing office will accept.</i>		

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Georgia	Enacted Chapter No. 2013 - 223	<a href="#">SB 185</a>	2/20/2013	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.	Section 9-502 and Section 9-515 (See Notes)	Sections 9-502 and 9-515 have been amended to provide that a record of a mortgage can be effective as a financing statement filed as a fixture filing.
Hawaii	Enacted Chapter No. 2012 - 33	<a href="#">HB 2162</a>	1/23/2012	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
Idaho	Enacted Chapter No. 2012 -145	<a href="#">SB 1307</a>	2/10/2012	Alternative A	7/1/2013	The bill provides images of the forms with official text.	Section 28-9-516A	Provides that a filing office shall not file an initial or amendment financing statement which contains an assumed business name for an individual or a business entity other than a general partnership <b>if the assumed name is designated as an assumed business name and the true name of the person using the assumed business name is not included.</b>
Illinois	Enacted Chapter No. 2012- 1034	<a href="#">SB 3764</a>	3/2/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. This means Illinois will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State.		
Indiana	Enacted Chapter No. 2011 - 54	<a href="#">HB 1321</a>	1/13/2011	Alternative A (See Notes)	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.	Section 9-503(a)(4)	The bracketed language of Alternative A provides "driver's license or identification card for non-drivers under IC 9-24-16."
Iowa	Enacted Chapter No. 2012 - 1052	<a href="#">HB 2321</a>	2/17/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. This means IA will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State.		

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Kansas	Enacted Chapter No. 2012 - 84	<a href="#">HB 2621</a>	2/2/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means KS will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State.</i>		
Kentucky	Enacted Chapter No. 2012 - 132	<a href="#">SB 97</a>	1/11/2012	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.	Section 9-513A Section 9-516A Section 9-518	Section 9-513A was created to establish methods for remediating bogus filings. Section 9-516A addresses possible rejection by the filing office of unauthorized filings. This section only applies if all debtors and secured parties indicated on the record are individuals. Section 9-518 provides in part that an information statement that is filed by a bank, or subsidiary or affiliate shall affect the effectiveness of the record to which it relates under certain circumstances.
Louisiana	Enacted Chapter No. 2012 - 450	<a href="#">HB 369</a>	3/12/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. This means LA will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State or NCCUSL.	Section 9-502(c)(3)(B)	Louisiana did not adopt Section 9-502(c) in the current Article 9 therefore bill HB 369 omitted the text in reference to Section 9-502(c)(3)(B) regarding a record of mortgage.
Maine	Enacted Chapter No. 2013 - 317	<a href="#">LD 1384</a>	4/8/2013	Alternative A (Amended to change Alternative B to A.)	7/1/2013	Section 9-521 does not provide text of the form fields or images of the forms but establishes that a filing office must accept forms approved by the International Association of Commercial Administrators or successor organization; or forms that the Secretary of State adopts by rule.		
Maryland	Enacted Chapter No. 2012 - 674	<a href="#">HB 713</a>	2/8/2012	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.		
Massachusetts	Enacted Chapter No. 2013 - 30	<a href="#">HB 28</a>	1/7/2013	Alternative A	7/1/2013	The bill provides images of the forms with official text.		

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Michigan	Enacted Chapter No. 2012 - 88	<a href="#">HB 5083</a>	10/18/2011	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by The American Law Institute and the Uniform Law Commission, or to the form and format of any other revision to or version of either of those forms that are promulgated by The American Law Institute and the Uniform Law Commission and adopted by the Secretary of State.		
Minnesota	Enacted Chapter No. 2011 - 31	<a href="#">SB 194</a>	2/1/2011	Alternative A (See Notes)	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means MN will retain their current version of this provision, which indicates that the filing office must accept the forms adopted by NCCUSL and IACA.</i>	Section 9-503(a)(4)	The bracketed term in Section 9-503(a)(4) has been replaced with "driver's license or state identification card."
Mississippi	Enacted Chapter No. 2013 - 451	<a href="#">SB 2609</a>	1/21/2013	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.		
Missouri	Enacted	<a href="#">HB 212</a>	1/17/2013	Alternative A	<b>NON-UNIFORM</b> 8/28/2013	The bill omits the amendment to Section 9-521. This means Missouri will retain their current version of this provision which indicates that the filing office must accept the forms and format approved by IACA or adopted by a rule promulgated by the Secretary of State.		
Missouri	Enacted	<a href="#">HB 1376</a>	1/14/2014	See Notes	8/28/2014	N/A		Adds "nondriver identification card" to 9-503(a)(4) as an additional source for the correct name of an individual debtor. This bill also fixes other omissions and oversights in original 2010 Amendments law.

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Montana	Enacted Chapter No. 2013 - 75	<a href="#">HB 212</a>	1/15/2013	Alternative A <b>See Notes</b>	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.		In addition to the driver's license and state identification card, Montana's 9-503(a)(4) adds a tribal identification card as a source of an individual debtor's name.
Nebraska	Enacted	<a href="#">LB 90</a>	1/6/2011	Alternative A <b>See Notes</b>	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		After this bill was enacted, an amendment to 9-503 to add a provision for identification cards issued by the Department of Motor Vehicles was introduced and is currently pending. <b>See below.</b>
Nebraska	Enacted	<a href="#">LB 1031</a>	1/17/2012	Alternative A	7/1/2013	N/A	<b>See Notes</b>	After NE LB 90 was enacted, this amendment to 9-503 to add a provision for identification cards issued by the Department of Motor Vehicles was introduced.
Nevada	Enacted Chapter No. 2011 - 131	<a href="#">AB 109</a>	2/2/2011	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means NV will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State or NCCUSL.</i>		
New Hampshire	Enacted Chapter No. 2012-0236	<a href="#">SB 204</a>	1/4/2012	Alternative B	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the Uniform Law Commission, or in such form and format as may be subsequently adopted by the American Law Institute, the Uniform Law Commission, or the International Association of Commercial Administrators.		
New Jersey	Enacted Chapter No. 2013 - 65	<a href="#">SB 2144</a>	7/26/2012	Alternative A	7/1/2013	The bill does not include text or images of the forms, but indicates that the forms included in the 2010 model amendments (or as subsequently changed by ALI and ULC) will be acceptable, as will forms adopted by IACA.		This bill has been substituted for A 3613.

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New Mexico	Enacted Chapter No. 2013 -137	<a href="#">SB 146</a>	1/21/2013	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.	Section 9-102(a)(80). <i>(See Notes)</i>	Changed "transmitting utility" to mean an organization rather than a person.
New York	Enacted Chapter No. 2014-505	<a href="#">AB 9933</a>	5/30/2014	Alternative A	<b>NON-UNIFORM</b> 12/17/2014	The bill omits the amendment to Section 9-521. This means NY will retain its current version of this provision, which indicates that the filing office must accept forms prescribed by the Department of State.	This bill omits the amendment to Section 9-516, resulting in New York continuing to require the debtor's organizational info. The bill also omits the transition provisions (Part 8).	
New York	Pending	<a href="#">SB 5502A</a>	5/14/2015	N/A	This act shall take effect on the same date as Chapter 505 of 2014 took effect, and shall apply to transactions entered into on or after December 17, 2014	This bill amends Section 9-521 of the UCC to provide for use of the new UCC financing statement forms being used nationwide.		Section 9-516 is amended to eliminate the requirement that the debtor's type of organization and jurisdiction be included on financing statements.  The bill makes other technical corrections and clarifies the non-uniform transition provisions previously enacted.
North Carolina	Enacted Chapter No. 2012 - 70	<a href="#">HB 1068</a>	5/23/2012	Alternative A	7/1/2013	The bill provides images of the forms with official text.		
North Dakota	Enacted Chapter No. 2011 - 304	<a href="#">HB 1137</a>	1/4/2011	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means ND will retain their current version of this provision, which indicates that the filing office must accept forms prescribed by the Secretary of State.</i>	<i>See Notes</i>	Subsequently enacted bills, ND HB 1136 (2013) and HB 1330 (2015), <b>add back the requirement for the debtor's tax ID number</b> to be included on financing statements effective August 1, 2016 or earlier if the Secretary of State indicates the technology to implement is ready sooner.
Ohio	Enacted Chapter No. 2012 - 94	<a href="#">SB 208</a>	8/30/2011	Alternative A	7/1/2013	Section 9-521 provides text of the form fields (including some non-uniform text), not images of the forms.		

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Ohio	Enacted Chapter No. 2013 - 25	<a href="#">HB 59</a>	2/12/2013	N/A	6/30/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws except for a reason prescribed in division (B) of section 1309.516 of the Revised Code.		
Oklahoma	Enacted Chapter No. 2015 - 374	<a href="#">HB 1773</a>	2/2/2015	Alternative A	<b>NON-UNIFORM</b> 11/1/2015	Section 9-521 provides text of the form fields, not images of the forms.		
Oregon	Enacted Chapter No. 2012 - 12	<a href="#">HB 4035</a>	2/1/2012	Alternative B* <b>*NOTE: Oregon switched to Alternative A with the subsequent enactment of SB 462 (see below).</b>	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the Uniform Law Commission, except for a reason set forth in ORS 79.0516 (2).		
Oregon	Enacted Chapter No. 2015 - 538	<a href="#">SB 462</a>	1/12/2015	Alternative A	6/22/2015 The amendments to ORS 79.0502 and 79.0503 (Sections 1 and 2 of this Act) are effective <b>January 1, 2016.</b>	N/A		Pre-effective date filings against individual debtor names that don't conform to driver's license standard will remain effective until their lapse date. The debtor names for these filings must be amended prior to continuation.
Pennsylvania	Enacted Chapter No. 2013-30	<a href="#">SB 381</a>	2/1/2013	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521.		
Rhode Island	Enacted Chapter No. 2011 - 192	<a href="#">HB 5573</a>	3/3/2011	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		



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Rhode Island	Enacted Chapter No. 2011 - 173	<a href="#">SB 520</a>	3/10/2011	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
Rhode Island	Enacted Chapter No. 2012-186	<a href="#">HB 7872</a>	3/1/2012	See Note	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		Makes minor wording/numbering changes to Sections 6A-9-503 and 6A-9-805. Also changes wording to Section 6A-9-518 regarding information statements.
Rhode Island	Enacted Chapter No. 2012 - 195	<a href="#">SB 2607</a>	3/1/2012	See Note	7/1/2013	N/A		Makes minor wording/numbering changes to Sections 6A-9-503 and 6A-9-805. Also changes wording to Section 6A-9-518 regarding information statements.
South Carolina	Enacted Chapter No. 2013 - 96	<a href="#">SB 323</a>	1/31/2013	Alternative A	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
South Dakota	Enacted Chapter No. 2012 - 238	<a href="#">HB 1059</a>	1/13/2012	Alternative A	7/1/2013	Section 57A-9-521 provides images of the forms with official text of the 2010 Amendments. (See Notes)	Section 57A-9-502(a)(1) (See Notes)	Still requires UCC filings to provide the taxpayer ID (Social Security or FEIN) number of the debtor. Forms in 57A-9-521 do not include a field for this number.
Tennessee	Enacted Chapter No. 2012 - 708	<a href="#">SB 2931</a>	1/26/2012	Alternative A	7/1/2013	The bill provides images of the forms with official text.		
Texas	Enacted Chapter No. 2011 - 67	<a href="#">SB 782</a>	2/18/2011	Similar to Alternative A (See Notes)	7/1/2013	The bill omits the amendment to Section 9-521. <i>This means TX will retain their current version of this provision, which indicates that the filing office must accept industry standard or national standard forms approved by IACA.</i>	The bill omits the amendment to Section 9-516(b)(5)(C), resulting in Texas continuing to require the debtor's type of organization, jurisdiction of organization and organizational identification number.	We have been informed that the omission of the amendment to 9-516(b)(5)(C) was unintentional. It is expected that additional legislative action will be taken to correct this prior to the 2013 effective date. (See Texas SB 474)
Texas	Enacted Chapter No. 2013 - 749	<a href="#">SB 474</a>	2/11/2013	N/A	7/1/2013	N/A		This bill appears to be a correction to the earlier bill (SB 782) and removes the requirement for organizational information in 9-516(b)(5)(C) to reflect the model act provision.

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Utah	Enacted Chapter No. 2013 - 225	<a href="#">SB 41</a>	1/28/2013	Alternative A	7/1/2013	Section 9-521 does <b>not</b> provide text of the form fields or images of the forms but establishes that the forms and format set forth in the 2010 amendments to Article 9 will be promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws.	Section 70A-9a-516(4) (See Notes)	The following additional reasons for rejection have been added to section 70A-9a-516: the debtor is an individual and the debtor's name contains unusually placed and apparently unnecessary punctuation, symbols, or other nonalphabetic characters; the record discloses personally identifying information (such as a Social Security number, driver license number, bank account number, credit or debit card account number, date of birth, or place of birth); or the debtor is an individual and the record indicates that the debtor is a transmitting utility.
Vermont	Enacted Chapter No. .2014 - 0157	<a href="#">HB 483</a>	3/1/2013	Alternative A	<b>NON-UNIFORM</b> 7/1/2014	The bill provides images of the forms with official text.		
Virginia	Enacted Chapter No. 2012 - 155	<a href="#">SB 51</a>	1/11/2012	Alternative A	7/1/2013	The bill repeals and replaces Section 8.9A-521. The new provision requires that a filing office that accepts written records may not refuse to accept a written initial financing statement, an amendment to a financing statement, or other written record in a form and format promulgated by the State Corporation Commission		
Washington	Enacted Chapter No. 2011 - 74	<a href="#">HB 1492</a>	3/3/2011	Alternative B (Amended by HB 1115 to change Alternative B to A.)	7/1/2013	Section 9-521 provides text of the form fields, not images of the forms.		
Washington	Enacted Chapter No. 2013 - 118	<a href="#">HB 1115</a>	1/16/2013	Alternative A	7/1/2013	N/A		Changes Section 9-503(a) from Alternative B to A
West Virginia	Enacted Chapter No. 2012 - 198	<a href="#">HB 4251</a>	1/20/2012	Alternative A	7/1/2013	The bill omits the amendment to Section 9-521. (see Notes)		As in the model act, this bill eliminates the requirement of the debtor's organization information (type of org, jurisdiction of org and org ID #), yet it does not enact the model act changes that eliminate these fields from the forms.

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West Virginia	Enacted Chapter No 2013 - 203.	<a href="#">SB 426</a>	3/4/2013	N/A	Effective Ninety Days from Passage (July 12, 2013)	This bill indicates that the most recent versions of the national forms, as approved by the International Association of Commercial Administrators, will be accepted.	This bill also adds provisions to address bogus/ fraudulent filings.	
Wisconsin	Enacted Chapter No. 2012-206	<a href="#">SB 416</a>	1/31/2012	Alternative A	7/1/2013	The bill provides images of the forms with official text.		
Wyoming	Enacted Chapter No. 2013-0008	<a href="#">SF 13</a>	1/8/2013	Alternative B	7/1/2013	Section 9-521 does not provide text of the form fields or images of the forms but establishes that a filing office must accept forms and format promulgated by the Secretary of State.		
Wyoming	Enacted Chapter No. 2013-0030	<a href="#">HB 0003</a>	1/18/2013	N/A	7/1/2013	N/A	Section 34.1-9-515 (See Notes)	Changes the effective life of financing statements and continuations filed after July 1, 2013, from 5 years to 10 years.
Puerto Rico (See Notes)	Enacted	<a href="#">C 2965</a>	Late 2010	Alternative A	<b>NON-UNIFORM</b> <b>1/17/2013</b>	1998 Image**	No non-uniformities from model A9 amendments.	<p>This bill superseded S 1842.</p> <p><b>Note that this bill combines the 2010 amendments with the major 2001 revision to Article 9.</b></p> <p>*The effective date is one year after the legislation is enacted, not the uniform date of July 1, 2013</p> <p>**Section 9-521 includes the 7/29/98 form versions, not the 4/20/11 versions approved for the 2010 Amendments.</p>