

March 2022

CENTRAL FILING OFFICES THAT ALLOW MULTIPLE FUNCTIONS PER UCC3 UNDER ARTICLE 9

Even in those states that allow multiple functions, certain functions (such as changing both debtor and secured party information or assigning and changing party information) can not be done on one filing due to form limitations. Also note that no filing office will accept a termination combined with any other function.

| | | | |
|---------------------|-----------------------|---------------------|------------|
| ALABAMA* | IDAHO* (see note) | MISSOURI (see note) | UTAH |
| ALASKA* | IOWA | NEW MEXICO | VIRGINIA |
| ARIZONA | KANSAS* | NEW YORK | WASHINGTON |
| COLORADO | KENTUCKY | OKLAHOMA | WYOMING |
| DELAWARE (see note) | MAINE | OREGON | |
| FLORIDA | MARYLAND (see note) | TENNESSEE* | |
| HAWAII* | MINNESOTA* (see note) | TEXAS* | |

* Additional fee per function is charged

NOTES:

Delaware will allow multiple functions on one UCC3 for paper filings as long as only one of the functions is “indexable”. Any changes to the collateral description are not indexable, so you can, for example, amend the debtor or secured party name and add, delete or restate collateral on one filing. But you can’t continue and amend the party info on one filing because both of these functions are indexable.

Idaho allows multiple functions on paper filings only. Additional fee applies with more than 3 pages.

Maryland allows amending a party name and adding/changing/restating collateral but does not allow any other combinations of functions.

Minnesota will accept a filing with more than one action and charges a separate fee for each action. However, unlike other states, they will file one copy of the filing for each action and provide **separate file numbers** for each. Clients who use **UCC ProFile** need to create a **separate UCC3 for each function** so that the filings can be properly acknowledged.

Missouri will accept a filing with more than one action and does not charge a separate fee for each action. However, they will file one copy of the filing for each action and provide **separate file numbers** for each. Clients who use **UCC Profile** need to create a **separate UCC3 for each function** so that the filings can be properly acknowledged. A separate fee will be charged for each filing.

The information provided in this memo is subject to change and is not to be taken as legal advice. We urge you to discuss all legal issues related to Article 9 compliance with competent legal counsel.

● **CORPORATE HQ**
COGENCY GLOBAL INC.
122 E 42ND ST, 18TH FL
NY, NY 10168
D: +1.212.947.7200
P: 800.221.0102
F: 800.944.6607

● **EUROPEAN HQ**
COGENCY GLOBAL (UK) LIMITED
REGISTERED IN ENGLAND & WALES,
REGISTRY #8010712
6 LLOYDS AVE, UNIT 4CL
LONDON EC3N 3AX, UK
+44 (0)20.3961.3080

● **ASIA PACIFIC HQ**
COGENCY GLOBAL (HK) LIMITED
A HONG KONG LIMITED COMPANY
UNIT B, 1/F, LIPPO LEIGHTON TOWER
103 LEIGHTON RD, CAUSEWAY BAY
HONG KONG
P: +852.2682.9633
F: +852.2682.9790